## Tactics of Compellence and Normative Appeal March 6, 2020 Ryan D. Griffiths

These data were used in two publications. First, they were used in my article with the *Journal of Global Security Studies* (posted online 2020), titled: "Secessionist Strategy and Tactical Variation in the Pursuit of Independence." Second, they were used (and listed) in my forthcoming 2021 book with Cornell University Press. Please cite either publication when using the data.

The data on secessionist movements and the tactics of compellence are taken from my article with Louis Wasser in the *Journal of Conflict Resolution* (2019, 63(5): 1310-1336), titled "Does Violent Secessionism Work?" The data on tactics of normative appeal were generated for the 2020 article in the *Journal of Global Security Studies*.

The data on normative appeals were generated by a Research Assistant at the University of Sydney (Eda Gunaydin) who used Factiva (for post-1980 movements) and ProQuest (for pre-1980 cases – due to data availability). The RA searched on:

- "Name of movement," and relevant variations. For example, for the Bakonjo secessionist movement, searches were also done on Baamba, Bamba, and Rwenzururu. For the Ambon movement, searches were also done on Moluccans, Amboina, and Ambonese.
- AND "secession" OR "secede" OR "independence" OR "sovereignty" OR "autonomy" OR "separatism."

The resulting articles were taken as a source for direct quotations from leaders, supporters, and participants whose statements about their movements appealed to norms such as freedom of choice; decolonization; inherent sovereignty; and human rights. They were also a source for reported speech (i.e. where there was no direct speech from movement leaders, supporters or participants, there was often language in the vein of "The Croats say that they are persecuted..." or "The Slovenians claim that..." or "Locals want secession because...").

Using additional language for "secession" (independence, sovereignty, autonomy, separatism) cast a wider net to secure articles about the smaller cases, and ensured that some movements where different phrasing dominated were not excluded. For example, while Bougainville uses the term "secession" almost exclusively, the majority of the Kurdish movements shy away from that language, preferring to use "autonomy" or "independence." There was often a reluctance to use the word secession by participants, perhaps for strategic purposes.

Searched were conducted on two years on either side of the movement start date, assuming that the normative appeals deployed by participants would be fairly stable or regularized inside of this five-year window. The analysis returned a total of 3,479 articles, an average of twenty-six per movement.

Next, the RA searched for the following terms as a way to capture utterances associated with the different normative appeals:

D 1 : :	
Earned sovereignty	<ul> <li>Equal (to isolate instances only when it was used in conjunction with terms such as "equal footing," "equal representation," "equal partnership," and "equal status")</li> <li>Formalise, and associated synonyms upgrade, convert, conversion, and promotion (i.e. of status)</li> <li>De facto</li> </ul>
Freedom to choose	<ul> <li>Choose (and derivatives choice, chosen), and synonyms determine, decide, decision</li> <li>Democracy and democratic (to isolate instances when the legitimacy or constitutionality of the independence tactic were emphasised – appeals being made to the movement's own democratic nature, or to the home state's democratic nature).</li> <li>Destiny and synonyms future and fate – a very regularised pattern of freedom to choose appeals articulates it as "right to decide our own future," and "freedom to choose its fate". These words were used as a means of triangulating this class of utterances, as the terms are otherwise uncommon in these articles (unlike, for example, the word "constitutional," which appears in irrelevant ways quite</li> </ul>
Decolonisation	frequently in these articles).  - Coloni—(for derivatives decolonisation, colonialism)  - Occup—(for derivatives occupied, occupation)  - Liberat—(for derivatives liberation, liberate)
Inherent sovereignty/foreign invasion	<ul> <li>Inva—(for derivatives invaded, invasion)</li> <li>Terra nullius</li> <li>Sovereignty (to isolated instances when the term was used to claim "sovereignty never ceded," "sovereignty never relinquished,"</li> </ul>

	"sovereignty never eliminated," "inherent sovereignty," "original sovereignty" etc.)
Human rights	<ul> <li>Genocide</li> <li>Ethnic cleansing</li> <li>Human rights</li> <li>Oppression, repression, suppression</li> <li>Specific terms for acts: massacre, slaughter, brutality, torture</li> </ul>

The final results for tactics by movement are listed in the appendix below.

## $Appendix^1\\$

				Compellence Tactics			Tactics of Normative Appeal					
		Secessionist			Nonviolent Extra	Violent Extra	Earned	Freedom to		Inherent	Human	
Kind 1	Home State Cyprus	Movment Northern Cyprus	Year 1983	Institutional 0	Institutional	Institutional	sovereignty 23	choose 0	Decolonisation 0	sovereignty 1	rights 0	
1	Azerbaijan	Artsakh	1991	1	0		12	1	1	0	0	
1	Moldova	Transnistria	1991	0	1	1	0	0	0	0	0	
1	Moldova	Gagauz	1991	0	1	1	2	1	0	0	1	
11	Georgia	South Ossetia Abkhazia	1992	1	1	1	9	0	1	0	2	
1/2	Georgia Somalia	Somaliland	1994 1991	0	1	1	4	1	3	0	2	
2	Indonesia	West Papua	1975	0	1	1	1	3	4	0	0	
2	Morocco	Saharawis	1976	0	1	1	0	3	11	4	1	
2	Cameroon	Southern Cameroons	1999	0	1	1	5	2	10	0	4	
3	Indonesia	East Timor	1999 1946	1	0	0	0	3	6	0	7	
3	Denmark Italy	Faeroe Islands Giulians	1946	1	1	1	0	1	2	0	0	
3	Israel	Palestinians I	1947	0	1	1	0	5	0	2	0	
3	Burma	Shans	1958	0	1	1	0	0	0	0	0	
3	Burma	Kachin II	1961	0	1	1	2	0	1	0	0	
3	Canada Uganda	Quebec Bankonjo I	1962 1963	0	1	1	7	0	7	0	0	
3	Uganda India	Meitei	1963	0	1	1	0	0	0	0	0	
3	Italy	Sardinia	1965	0	1	0	0	0	0	0	0	
3	Italy	South Tyrol	1965	1	0	1	0	2	0	0	1	
3	India	Mizos	1966	1	1	1	0	0	0	0	0	
3	UK	Northern Ireland	1968	1	1	1	0	3	1	0	0	
3	France Papua New Guinea	Brittany Bougainville II	1970 1975	1	0	1	0	2	6	0	0	
3	France	Basques	1975	1	1	1	0	3	2	0	1	
3	France	Corsica	1978	1	1	1	0	2	8	0	0	
3	India	Tripuras	1978	0	1	1	1	0	0	1	0	
3	Sri Lanka	Tamils	1981	1	1	1	2	8	4	1	2	
3	Turkey	Kurds II	1984	0	1	1	0	0	0	0	0	
3	India India	Boro/Bodo Sikhs II	1986 1986	0	1	1	0	0 2	0	0	0	
3	Israel	Palestinians II	1988	0	1	1	0	5	26	1	0	
3	India	Assam	1989	0	1	1	0	0	2	0	1	
3	India	Kashmir II	1989	1	1	1	1	9	2	2	3	
3	Papua New Guinea	Bougainville II	1990	0	1	1	0	2	2	0	3	
3	Philippines Bosnia	Abu Sayyaf Serbs	1991 1992	0	0	1	0	0 4	0	0	2	
3	Bosnia	Croats	1992	0	1	1	2	2	0	2	0	
3	Ukraine	Crimea	1992	1	0	0	2	7	0	1	0	
3	UK	Scotland	1992	1	1	0	1	9	0	0	0	
3	Russia	Tatars	1992	1	1	0	5	4	2	0	0	
3	South Africa St. Kitts and Nevis	Inkatha Nevis	1994 1996	1	1 0	0	0	5	0	0	0	
3	Italy	Padania	1996	1	1	0	0	4	7	0	1	
3	France	Savoy	1997	1	1	0	0	1	0	0	0	
3	Solomon Islands	Guadalcanal	1999	0	1	1	0	0	2	0	1	
3	Spain	Basques III	2000	1	1	1	0	15	3	1	2	
3	Italy Yugoslavia	Sardinia Montenegro	2002 2006	1	1 0	0	0 4	0 12	0	0	0	
3	Y ugosiavia Comoros	Anjouan II	2006	0	1	0	0	2	0	0	0	
3		Kosovo	2008	1	1	1	3	6	2	0	10	
4	New Zealand	Maori	1975	0			0	0	0	0	0	
4	USA	Hawaii	1994	1	1		0	4	11	14	3	
4	USA	Lokatah Eastern Mongols	2007	0			0	0	2	6	1	
5	China India	Kashmir I	1946 1947	1	0	1	0	0 8	0	0	0	
5	India	Hyderabad	1947	1	0		2	0	0	2	0	
5	India	Nagas	1948	1	1		0	1	0	0	0	
5	China	Tibet II	1950	0			2	0	0	0	0	
5	China	Hui	1953	0	1	1	0	0	0	0	0	
5	China	Tibet III	1956 1979	0	0	0	0	0 2	4 0	2	0	
5	China USSR	Tibet IV Ajars	1979	0			0	0	0	0	0	
5	USSR	Abkhazia	1989	1			3	0	0	0	0	
5	China	Uighurs	1990	0			0	0	1	0	1	

<sup>&</sup>lt;sup>1</sup> For Kinds: 1 = *de facto*; 2 = decolonial, 3 = democratized, 4 = indigenous legal, 5 = weak combative, 6 = strong combative.

					Con	mpellence Tac	tics	Tactics of Normative Appeal				
S	Kind	Home State		Vear	Institutional	Extra	Extra			Decolonisation		Human rights
Strick   Company   Strick   Strick   Company   Strick   Stric		USSR			1							3
Section   Sect			-									0
Strong   S												<u>4</u> 1
S. USSR   Undeks   1991   1   0   0   0   3   3   0   0   1   1   0   0   0   1   1   0   0						_		•				0
S			, ,		1							0
S	5	USSR			1	0			1		0	0
S. USSR   Lathuanist   1991   1   1   0   3   3   6   0					1							1
S.   USSR   Lithuanas III   1991   1   1   0   1   6   3   0   0												1
Second Color												1
S. USSR   Georgia   1991   1   1   0   1   0   6   4												1
Strong	5			1991	1	1	0	0			0	1
Section   Sussian   Dugestan   1999								•				5
S   Russia   Opechan   1999   1   0   1   0   1   0   0												0
S												0
6   Parkistan   Pashtuns   1948   0   1   1   0   0   3   0   0   0									•			3
6         Barma         Arakanese I         1948         0         1         1         0			-		1							4
6 Burma Karenni 1949 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 6 6 Burma Karens 1949 0 1 1 1 1 1 0 0 0 0 0 0 0 0 0 0 0 0 0												1
6         Burma         Mons         1949         0         1         1         1         0 <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>0</td></td<>												0
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6   Indonesia   Aniphonese   1950   1   1   1   0   0   1   1   0   0												0
6         Budan South Sudan I 1956         0         1         1         0         2         0         1         0         0         1         0         0         1         0         0         1         0         0         1         0         0         1         0         0         1         0         0         1         0 <td></td> <td>0</td>												0
6         Pakistan         Baluch         1958         0         1         1         0         0         1         0										0		0
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6   Ivory Coast   Anyi   1961   1   1   0   0   0   0   0   0   0												2
6 Nigeria Edos 1967 0 1 1 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0	-				1	1						0
6         Niegran         Chams         1964         0         1         0         1         1         0         0         2         0         0         1         1         1         0         0         2         0         0         0         1         1         1         0         0         2         0         0         0         1         1         1         0         0         2         0												2
6         Nigeria         Edos         1967         0         0         1         0         1         1         0         0         2         1         1         0         0         2         1         1         0         0         2         1         1         0         0         0         1         1         1         0         <												0
6         Nigeria         Ibos         1967         0         1         1         0         2         2         0           6         Spain         Basques II         1968         0         1         1         0         0         2         1           6         Pakistan         E. Pakistan         1971         1         1         1         0         0         2         1           6         Iraq         Kurds I         1972         0         0         1         1         0	-											1
6         Spaim         Basques II         1968         0         1         1         0         0         2         1           6         Pakistan         E. Pakistan         1971         1         1         1         0         1         5         0         0           6         Iraq         Kurds I         1972         0         0         1         1         1         0         0         2         0         0           6         Spain         Catalans III         1974         0         1         1         0												22
6         Iraq         Kurds I         1972         0         0         1         1         1         0         <												7
6         Philippines         Mindanao         1972         0         1         1         0         0         2         0           6         Spain         Catalans III         1974         0         1         1         0	6	Pakistan			-							12
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6         Angola         Cabinda         1975         0         1         1         0         1         4         0           6         Bangladesh         Chittagong         1975         0         1         1         0												7 2
6         Bangladesh         Chittagong         1975         0         1         1         0         0         0         0           6         Iran         Kurds II         1980         0         0         1         1         0         2         0         0         0           6         Burma         Arakanese II         1980         0         0         1         0												0
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6         Senegal         Casamance         1982         0         1         1         0         0         0         0           6         Uganda         Bankonjo II         1986         0         1         1         0         0         0         0         0           6         Indonesia         Atjeh II         1988         0         1         1         0         0         0         0         0           6         Namibia         Basters (Rehoboth)         1990         0         1         0												5
6         Uganda         Bankonjo II         1986         0         1         1         0         0         0         0           6         Indonesia         Atjeh II         1988         0         1         1         0         0         4         0           6         Namibia         Basters (Rehoboth)         1990         0         1         0         0         0         0         0         0           6         Yugoslavia         Slovenia         1990         1         0         1         0         0         0         0         0           6         Ethiopia         Tigray II         1991         1         0         1         0 <td></td> <td>0</td>												0
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6         Namibia         Basters (Rehoboth)         1990         0         1         0         0         0         0         0           6         Yugoslavia         Slovenia         1990         1         0         1         0         6         0         0         0           6         Ethiopia         Tigray II         1991         1         0         1         0         0         0         0         0           6         Yugoslavia         Macedonia         1991         1         1         0         4         1         0         0         0           6         Yugoslavia         Macedonia         1991         1         1         0         4         1         0         0         0           6         Iraq         Kurds III         1991         0         1         1         2         4         0         0         0           6         Iraq         Kurds III         1991         0         1         1         0         4         0         0         0           6         Yugoslavia         Bosnia         1992         0         1         1         0         0		- / /										20
6         Ethiopia         Tigray II         1991         1         0         1         0         0         0         0           6         Yugoslavia         Croatia         1991         1         0         1         0         7         0         0         0           6         Yugoslavia         Macedonia         1991         1         1         0         4         1         0         0         0           6         Iraq         Kurds III         1991         0         1         1         2         4         0         0         0           6         Croatia         Serbs         1992         0         1         1         0         4         0         0         0           6         Yugoslavia         Bosnia         1992         1         1         1         0         4         0         0         0           6         Yugoslavia         Bosnia         1992         1         1         1         0         2         0         0         0           6         Yugoslavia         Bosnia         1992         1         1         1         0         0         0		Namibia			0	1	0	0	0	0	0	2
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6         Iraq         Kurds III         1991         0         1         1         2         4         0         0           6         Croatia         Serbs         1992         0         1         1         0         4         0         0         0           6         Yugoslavia         Bosnia         1992         1         1         1         0         2         0         0         0           6         Azerbaijan         Talysh         1993         0         1         1         0         0         0         0         0           6         Ethiopia         Eritrea         1993         1         1         1         4         9         2         0         0           6         Yemen         South Yemen I         1994         0         1         1         0												0
6         Croatia         Serbs         1992         0         1         1         0         4         0         0           6         Yugoslavia         Bosnia         1992         1         1         1         0         2         0         0         0           6         Azerbaijan         Talysh         1993         0         1         1         0												13
6         Azerbaijan         Talysh         1993         0         1         1         0         0         0         0           6         Ethiopia         Eritrea         1993         1         1         1         4         9         2         0           6         Yemen         South Yemen I         1994         0         1         1         0         0         0         0           6         Ethiopia         Somali II         1994         1         1         1         0         2         4         0           6         Iraq         Kurds IV         1995         0         1         1         3         0         0         0         0           6         Comoros         Moheli         1997         0         1         0         0         0         0         0         0           6         Comoros         Anjouan I         1997         0         1         1         3         0         0         0         0           6         Namibia         Lozi         1998         1         1         1         0         6         0         0           6         <				1992					4			3
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6         Ethiopia         Somali II         1994         1         1         1         0         2         4         0           6         Iraq         Kurds IV         1995         0         1         1         3         0         0         0         0           6         Comoros         Moheli         1997         0         1         0         0         0         0         0         0           6         Comoros         Anjouan I         1997         0         1         1         3         0         0         0         0           6         Namibia         Lozi         1998         1         1         1         0         6         0         0         0           6         Yemen         South Yemen         2007         0         1         1         0         1         4         0           6         Sudan         South Sudan II         2011         1         1         1         2         3         0         0         0												1
6     Iraq     Kurds IV     1995     0     1     1     3     0     0     0           6         Comoros         Moheli         1997         0         1         0         0         0         0         0           6         Comoros         Anjouan I         1997         0         1         1         3         0         0         0         0           6         Namibia         Lozi         1998         1         1         1         0         6         0         0           6         Yemen         South Yemen         2007         0         1         1         0         1         4         0           6         Sudan         South Sudan II         2011         1         1         1         2         3         0         0												0
6         Comoros         Moheli         1997         0         1         0         0         0         0         0           6         Comoros         Anjouan I         1997         0         1         1         3         0         0         0         0           6         Namibia         Lozi         1998         1         1         1         0         6         0         0           6         Yemen         South Yemen         2007         0         1         1         0         1         4         0           6         Sudan         South Sudan II         2011         1         1         1         2         3         0         0												7
6         Namibia         Lozi         1998         1         1         1         0         6         0         0           6         Yemen         South Yemen         2007         0         1         1         0         1         4         0           6         Sudan         South Sudan II         2011         1         1         1         2         3         0         0	6	Comoros	Moheli	1997	0	1	0	0	0	0	0	0
6         Yemen         South Yemen         2007         0         1         1         0         1         4         0           6         Sudan         South Sudan II         2011         1         1         1         2         3         0         0												0
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