Codebook International System(s) Dataset (ISD), Version 2 Version 2: January 17, 2020

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In this document we describe the data and related cases in version 2 of the International System(s) Dataset (ISD). We ask that users cite the following publication:

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Differences Between ISD 1 and ISD 2

As described in our 2020 article with *International Interactions*, there are two substantial differences in the second version of the ISD. First, we modified the criteria for identifying states (cases), our unit of analysis. To qualify as a state in the ISD, a political entity needs to have:

- 1. A population of at least 10,000.
- 2. Autonomy over a specific population.
- 3. Sovereignty that is either uncontested or acknowledged by the relevant international actors.

These criteria are elaborated in both articles. The main difference in version 2 is that we lowered the population requirement from 100,000 (ISD V1) to 10,000 (ISD V2). This, along with a more refined collection process, yielded 482 states between 1816 and 2016, a net increase of 119 states from ISD V1 (which had 363 states). The states are listed below.

The second difference with ISD V2 is that we included a range of additional variables related to recognition, start type, borders, and conflict. These variables are described below. Note that as stated on page 297 of the article, "these additional variables are coded only for states in the 1816-1920 period and primarily for states that are not captured in the COW list." Our emphasis was on the collection of data for hitherto ignored or under-researched states.

This dataset is the result of a three-year effort involving 14 researchers (including Butcher and Griffiths) with funding from the Australian Research Council (ARC). A substantial number of sources were used in the data collection.

Case List

The 482 states in the ISD V2 can be sorted into three categories (noted as a variable in the dataset).

Category 1. There are 286 states in V2 that are identical to V1 with respect to the start and end years. For example, both versions show that Tokolor existed as an independent state from 1848 to 1893.

Category 2. There are 76 states in V2 where the start and/or ends years have been changed from V1. For example, Fouta Djallon was given the start and end years of 1816 and 1896 in V1; in V2 those years were updated to 1816 and 1887. Note that one case, Swat, was removed from the dataset in V2. As stated in the article, we felt that Swat no longer met the criteria for statehood. Combined, there are 362 states in categories 1 and 2. These are the cases in V1 of the ISD, minus Swat.

| State Name | Start | End |
|-----------------|------------|------------|
| Abuja Emirate | 01-01-1828 | 31-12-1902 |
| Adamawa | 01-01-1841 | 14-11-1893 |
| Anhalt-Bernburg | 01-01-1816 | 22-08-1863 |
| Anhalt-Dessau | 01-01-1816 | 18-08-1866 |
| Anhalt-Kothen | 01-01-1816 | 23-11-1847 |
| Anjouan | 01-01-1816 | 31-12-1886 |
| Ankole | 01-01-1816 | 31-12-1898 |
| Aro Confederacy | 01-01-1816 | 31-01-1902 |
| Asahan | 01-01-1816 | 01-01-1865 |
| Badung | 01-01-1816 | 31-12-1906 |
| Bagirmi | 01-01-1816 | 31-12-1897 |
| Bangli | 01-01-1837 | 31-12-1909 |
| Benin Empire | 01-01-1816 | 17-02-1897 |
| Bohol | 01-01-1816 | 31-08-1829 |
| Bone | 01-01-1816 | 31-12-1905 |
| Borgu | 01-01-1816 | 31-12-1898 |
| Brakna | 01-01-1816 | 31-12-1905 |
| Brunswick | 01-01-1816 | 18-08-1866 |
| Buleleng | 01-01-1816 | 31-12-1849 |

Category 3. There are 120 new states in V2, listed here.

| Bulungan | 01-01-1816 | 31-12-1885 |
|---|------------|------------|
| Bunyoro | 01-01-1816 | 31-12-1893 |
| Champasak | 01-01-1816 | 31-12-1829 |
| Chien Khouang/ Xiang Khouang/ Xieng Khouang | 01-01-1816 | 31-12-1831 |
| Chitral | 01-01-1816 | 31-12-1878 |
| Dagestan | 01-01-1834 | 31-12-1859 |
| Deli Sultanate | 01-01-1816 | 22-08-1862 |
| Dhar | 01-01-1816 | 31-12-1819 |
| Egba | 01-01-1830 | 31-12-1893 |
| Fiji | 05-06-1871 | 10-10-1874 |
| Frankfurt | 01-01-1816 | 20-09-1866 |
| Geledi Sultanate | 01-01-1816 | 31-12-1908 |
| Gera | 01-01-1835 | 31-12-1896 |
| Gianyar | 01-01-1816 | 01-01-1842 |
| Gianyar | 01-01-1849 | 01-01-1883 |
| Gianyar | 01-01-1893 | 01-01-1900 |
| Gomma | 01-01-1816 | 31-01-1886 |
| Gowa | 01-01-1816 | 01-01-1911 |
| Gumma | 01-01-1816 | 31-12-1902 |
| Harrar | 01-01-1816 | 31-12-1875 |
| Herat | 01-01-1829 | 31-12-1863 |
| Hesse-Homburg | 01-01-1816 | 28-03-1866 |
| Hiraab Emirate | 01-01-1816 | 31-12-1900 |
| Hobyo (Obbia) Sultanate | 01-01-1884 | 08-02-1889 |
| Hohenzollern-Hechingen | 01-01-1816 | 07-12-1849 |
| Hohenzollern-Sigmaringen | 01-01-1816 | 07-12-1849 |
| Hunza | 01-01-1816 | 31-12-1891 |
| Ibadan Empire | 01-01-1862 | 31-12-1893 |
| Igala Kingdom | 01-01-1816 | 31-12-1886 |
| Ijaye | 01-01-1832 | 31-12-1862 |

| ljebu | 01-01-1816 | 15-05-1892 |
|--------------------------|------------|------------|
| ljesa | 01-01-1816 | 31-12-1870 |
| lle lfe | 01-01-1816 | 31-12-1849 |
| Jabal Shammar | 01-01-1836 | 31-12-1921 |
| Jaisalmer | 01-01-1816 | 31-12-1818 |
| Jambi | 01-01-1816 | 31-12-1834 |
| Jembrana | 01-01-1818 | 31-12-1821 |
| Jembrana | 01-01-1849 | 31-12-1853 |
| Jolof (Djoloff or Wolof) | 01-01-1816 | 18-04-1885 |
| Kaabu Empire | 01-01-1816 | 31-12-1867 |
| Ketu Kingdom | 01-01-1816 | 31-12-1886 |
| Kingdom of Karagwe | 01-01-1816 | 31-01-1891 |
| Kishangarh | 01-01-1816 | 31-12-1818 |
| Klungkung | 01-01-1816 | 31-12-1911 |
| Kong Empire | 01-01-1816 | 10-01-1889 |
| Kunduz | 01-01-1816 | 31-12-1859 |
| Kutai | 01-01-1816 | 31-12-1844 |
| Lahej Sultanate | 01-01-1816 | 31-12-1898 |
| Loango Kingdom | 01-01-1816 | 31-12-1834 |
| Luang Phrabang | 01-01-1816 | 31-12-1893 |
| Lubeck | 01-01-1816 | 18-08-1866 |
| Lucca | 01-01-1816 | 04-10-1847 |
| Luwu | 01-01-1816 | 31-12-1906 |
| Majeerteen Sultanate | 01-01-1816 | 31-12-1889 |
| Mandara | 01-01-1816 | 31-12-1902 |
| Mangbetu Kingdom | 01-01-1816 | 31-12-1873 |
| Mataatua | 01-01-1816 | 28-10-1835 |
| Mataram | 01-01-1816 | 31-12-1830 |
| Mataram Lombok | 01-01-1839 | 31-12-1894 |
| Mecklenburg Strelitz | 01-01-1816 | 21-08-1866 |

| Mengwi | 01-01-1816 | 31-12-1823 |
|---------------------------|------------|------------|
| Moheli | 01-01-1830 | 31-12-1886 |
| Mthetwa | 01-01-1816 | 31-12-1817 |
| Ndwandwe Kingdom | 01-01-1816 | 31-12-1820 |
| Negeri Sembilan | 01-01-1816 | 31-12-1887 |
| New Zealand | 01-01-1835 | 16-02-1840 |
| Ngapuhi | 01-01-1816 | 28-10-1835 |
| Nupe | 01-01-1816 | 31-12-1832 |
| Nyungu Kingdom | 01-01-1880 | 31-12-1894 |
| Oaugadougou | 01-01-1816 | 31-09-1896 |
| Reuss-Greiz | 01-01-1816 | 18-08-1866 |
| Reuss-Schleiz | 01-01-1816 | 18-08-1866 |
| Riau | 01-01-1819 | 31-12-1824 |
| Rozvi Empire | 01-01-1816 | 31-12-1834 |
| Sampthar | 01-01-1816 | 31-12-1817 |
| Sarawak | 01-01-1841 | 31-12-1888 |
| Schaumburg-Lippe | 01-01-1816 | 18-08-1866 |
| Schwarzburg-Rudolstadt | 01-01-1816 | 18-08-1866 |
| Schwarzburg-Sondershausen | 01-01-1816 | 18-08-1866 |
| Shilluk Kingdom | 01-01-1816 | 31-12-1863 |
| Sikasso | 01-01-1816 | 01-05-1898 |
| Singhbhum | 01-01-1816 | 31-12-1820 |
| Sise Empire | 01-01-1835 | 31-12-1881 |
| Sultanate of Aussa | 01-01-1816 | 31-12-1944 |
| Sultanate of Maguindanao | 01-01-1816 | 31-12-1861 |
| Tabanan | 01-01-1816 | 31-12-1906 |
| Tampin | 01-01-1836 | 31-12-1887 |
| Tegali Kingdom | 01-01-1816 | 31-12-1884 |
| Teke Kingdom | 01-01-1816 | 31-12-1883 |
| Tenkodogo | 01-01-1816 | 31-12-1896 |

| Tonga | 01-01-1845 | 31-12-1900 |
|----------------|------------|------------|
| Tonk | 01-01-1816 | 31-12-1817 |
| Toro | 01-01-1830 | 31-12-1900 |
| Trarza Emirate | 01-01-1816 | 31-12-1904 |
| Unyanyembe | 01-01-1816 | 30-10-1892 |
| Urambo | 01-01-1860 | 31-12-1890 |
| Vientiane | 01-01-1816 | 31-12-1827 |
| Waikato | 01-01-1816 | 28-10-1835 |
| Waldeck | 01-01-1816 | 18-08-1866 |
| Walo | 01-01-1816 | 18-03-1855 |
| Wanganui | 01-01-1816 | 28-10-1835 |

Coding Mechanism and Variables

In this section we detail the variables and rules for coding.

General Rules

- -9 = No information because of insufficient information available to code the variable; or variable not applicable to this case; or variable not researched because it was a commonly accepted 20th and 21st century state.¹
- -Am = For each key variable there is an additional question about ambiguity. Was there ambiguity in the coding? 0 = no ambiguity; 1 = ambiguity.
- ** = Notes that a variable may produce false negatives. For example, a "1" indicated that evidence was found for the variable "Border." A "0" indicates that no evidence was found, NOT that borders did not exist in this case.

| COW Num | The number assigned to the political unit in the Correlates of War (COW) dataset. ² |
|------------|--|
| COW ID | A three-letter abbreviation given by the COW dataset. For new cases, a COW ID was created. |
| State Name | The name used for the state in the ISD dataset. |
| Other Name | Other names by which the state is known. |

 $^{^{1}}$ As stated, our research on corollary variables focused on 19th and early 20th century states that were introduced in the ISC V1 and V2.

² Following our practice used in ISD V1, we generated new ID numbers for new states using the COW numbering scheme. See the Correlates of War Entities List.

| ISD Category | Category 1 states have same start and end years as ISD V1. Category 2 states were in ISD V1 but have changed start and/or end years. Category 3 states are new states that were not in ISD V1. |
|-----------------|---|
| Region | The COW index number for the geographic region in which the state is located. |
| Start | The year the state entered the system $-i.e.$ met all of the criteria for statehood listed above. For states that existed at the beginning of the time period for the dataset, 1816 is used as the start. Provide actual calendar date if known. |
| Start-Am | Was there ambiguity in the coding of the start? |
| EStart | What year did the state start if <i>earlier</i> than 1816? |
| EStart-Am | Was there ambiguity regarding the early start? |
| Declare** | Is there evidence of a declaration of independence? (0=no, 1=yes). It can be a pronouncement or a document. By listing "1" for this variable you are directing the future researcher to a case where something occurred. |
| DecDate** | Date of the declaration of independence (provide year and date if possible). |
| Population | List the population for the start year or as close as possible to it. |
| PopDate | Year population was counted. |
| POP-Am | Ambiguity surrounding the population estimate? |
| Population-High | Upper end of population estimates |
| Population-Low | Lower end of population estimates |
| Start Type | How was the state created? Territorial Consolidation = state created over space where none previously existed. Secession = state secedes from larger state. Dissolution³ = state is born as a fragment of a larger state that broke apart and ceased to exist (e.g. Austro-Hungarian Empire). |

³ Regarding StartType, it's a fuzzy line that separates Secession from Dissolution. In the latter case the mother state has to basically disappear. For a more recent set of examples, we would code Belarus from the Soviet Union as a secession and not a dissolution since the rump state endured (albeit transformed) as Russia. In contrast, Hungary from the Austro-Hungarian Empire is a dissolution since the Empire ceased to be and it's harder to argue that it endured as Austria.

| | Unification = state born from the voluntary merging of several (typically equally sized) states. Decolonization = state born from decolonization Other (please provide information in case narrative) | |
|--|--|--|
| Start Type-Am | Was there ambiguity on the start type? | |
| Start Settle | Was there a treaty or formal settlement attending the birth of the state? | |
| End | Year the state exited the system | |
| End-Am | Was there ambiguity on the end date? | |
| End Type | How did the state end? Colonization = Imperial, non-contiguous colonization. Conquest / annexation = State death via foreign take-over. Unification = State dies through process of voluntary unification. Dissolution = State death via dissolution of state. Partition = State death via partition by outside powers. Other (please provide information in case narrative) | |
| End Type-Am | Was there ambiguity on the end type? | |
| End Settle | Was there a treaty or formal settlement attending the death of the state? | |
| Sovereignty-Am | Was there ambiguity regarding the criterion that the sovereignty of the state is either uncontested or acknowledged by the relevant international actors. $0 = no$; $1 = indicated ambiguity / uncertainty.$ | |
| Euro-Dip** | Is there evidence that the state had diplomatic relations with a European power? If so, provide information (and citations) in the case narrative. | |
| Borders** | Is there evidence that the state had clearly defined borders separating it from other states or sources of authority? | |
| Borders-Am Was there ambiguity on the issue of borders? | | |
| Latitude | Latitude Coordinates (GeoLocation) | |
| Longitude | Longitude coordinates (Geolocation) | |
| VioStart | Was the state born violently? Violence here is defined as the killing of civilians and combatants. | |
| VioStart-Am | Is their ambiguity about the starting violence? | |

| VioEnd | Did the state die violently? Violence here is defined as the killing of |
|--------|---|
| | civilians and combatants. |
| | |

VioEnd-Am Is their ambiguity about the ending violence?